Attorney's Docket:

OIP 520431.0917

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DEC 1 0 2001

Serial No. 09/970,371

Filing Date: October 2, 2001

Art Group Unit:

Title: Providing Visualization of Market Offers Using Patterns

Of Geometric Display Elements

Honorable Assistant Commissioner for Patents P. O. Box 2327 Arlington, VA 22202

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL – No. EL 759105583US

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37.C.F.R. 1.10 on the date shown below, and addressed to Assistant Commissioner for Patents, P. O. Box 2327, Arlington, VA 22202.

Name: Willie Jiles

Willie Jiles

Date: 11 - 28 - 01

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicants

Christopher W. Kennerly Reg. No. 40,675

anken

2001 Ross Avenue Dallas, Texas 75201-2980 Tel. 214.953.6812; Fax. 214.661.4812 chris.kennerly@bakerbotts.com

Date: 11/28/01

PTO-1449

Information Disc are Citation in an Application

Applicant(s) Application No. 09/970,371 Manoel (nmi) Tenorio Docket Number Gr up Art Unit Filing Date

U.S. PATENT DOCUMENTS

020431.0917

DEC 1 0 2001

DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASSIDICELLING DATE
				750
			. .	PEC SIVA
			"Chno	05,50
		FOREIGN PATENT DOCUMENTS	}	OS CONTENTS
	T			10 TRANSLATION

FOREIGN PATENT DOCUMENTS

T						TRANSL	ATION
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
10							

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
Α	@The Moment: The Platform for Real-Time Trading; "How Quickly Can You Respond to Your	2001
	Markets?" pp.1	
В	@The Moment Solutions; "Dynamic Trading for a Dynamic World" pp 1	2001
С	Trade@The Moment: The Platform for Real-Time Trading; pp 1-2	2001
D	@The Moment Real-Time Trading Applications; pp 1-2	2001
E	@The Moment Professional Services; pp 1	2001
F	@The Moment Trade @The Moment FAQ; "Frequently Asked Questions (FAQ) About Dynamic Trading" pp 1-9	2001
G	Trade@The Moment Demos; pp 1	2001
Н	@The Moment Screen Shots; pp 1-3	2001
J	Market Operator Navigation; pp 1	2001
К	Market Type Selection Page; pp 1-2	2001
L	Market Access Control Selection Page; pp 1-2	2001
М	Market Report; "marketplace@themoment;" pp 1	2001
N	Bid/Ask Pricing Page, pp 1-4	2001
€ € O	Auction Page; pp 1-4	2001
Р	Bid/Ask Page/Pitometer; pp 1-4	2001
Q	Bid/Ask Page/Order Book; pp 1-4	2001
R	@TheMoment Technology; pp1-2	2001

RECEIVED

		(Fic 112 2001 2
	S	@TheMoment Papers; pp 1	2001 blogy Center 260
	T	@TheMoment Reliant Energy Customer Street, "Reliant Energy Turns to @TheMoment to Provide First ERCOT Auction for Electricity Generation Capacity;" pp 1-2	
`	U	@TheMoment White Papers; pp 1	2001
	٧	@TheMoment White Paper Series; "Leveraging Web-Based Dynamic Trading for Gas Pipeline Capacity Sales;" pp 1-8	7/2001
	w	@TheMoment White Paper Series; "Building Competitive Advantage in Turbulent Markets Through Web-Based Dynamic Trading Technologies;" pp 1-12	8/2001
	X	@TheMoment White Paper Series; "Reducing Inventory Risks in High-Tech Component Manufacturing with Forward Contracts;" pp1-12	8/2001
	Y	@TheMoment White Paper Series; "The Role off Web-Based Dynamic Trading in Restructured Electric and Gas Markets;" pp 1-14	10/2001
	Z	Trade@TheMoment: LDC Data Sheet; "The Complete Trading Solution for LDCs;" pp 1-4	10/2001
- ,.	AA	Trade@TheMoment:Power Data Sheet; "The Complete Trading Solution for Electric Utilities;" pp 1-2	11/2001
<i>J</i> ,			
EXA	MINI	ER DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. Patent and Trademark Office

Technology Center 2100